

A Review of Using Supercritical CO₂ Brayton Cycle in Renewable Energy Applications

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Abstract - Supercritical carbon dioxide (sCO₂), which is an environmentally friendly working fluid, has very good thermal physical properties. Many researchers have studied the heat transfer mechanism and the enhanced heat transfer method of the supercritical CO₂ Brayton cycle (sCO₂-BC). The sCO₂-BC has many applications including the next generation of Fast Cooling Reactor (FCR), solar power system, extraction process and heat pump system. The sCO₂-BC provides high efficiency and high compactness, which is important because system miniaturization is vital to these developing technologies. The present paper reviews the recent references on the research progress of the sCO₂-BC system. Furthermore, it discusses the analysis of key components such as the compressor, turbine and heat exchanger, which differ from the devices used in the conventional steam Rankine cycle due to the special thermal properties of sCO₂. Finally, the researchers propose some recommendations towards the development of sCO₂-BC system for future work.

Keywords - Supercritical CO₂ Brayton cycle; Compressor; Turbine; Heat exchanger

I. INTRODUCTION

Carbon emissions are increasing rapidly due to the increasing rate of energy consumption by human beings. The utilizations of renewable energy conversion systems, like nuclear and solar energies, are imperative to slow or stop the environment pollution and destruction caused by carbon emissions. Carbon dioxide (CO₂), which is an environmentally friendly working fluid, has excellent thermal properties when used in a supercritical state. Supercritical CO₂ (sCO₂) is capable of performing expansion working with a lower pressure residence when compared with steam. For this reason, Feher [1] proposed using sCO₂ as a working fluid in the Brayton cycle in 1967. The sCO₂ Brayton cycle (sCO₂-BC) is an attractive working fluid for nuclear reactor systems [2-4], solar power systems [5-7] and

other renewable systems [8-11], because of its high overall efficiency due to special thermal properties [12]. The density of sCO₂ is of the same order of magnitude as water. However, the sCO₂ viscosity is similar to that of air. Fig. 1 illustrates the overall cycle efficiency of the sCO₂-BC evaluated from the turbine inlet temperature in the reactor system compared with other thermal cycles [3]. The sCO₂-BC has a much higher efficiency, than other cycles, when the turbine inlet temperature is over 550°C. It is possible for the overall efficiency of the sCO₂-BC to exceed 50%. The cycle efficiency of the sCO₂-BC with the turbine inlet temperature of 550°C is expected to be compared with the helium cycle at 750°C, which means that the cost of materials can significantly be decreased. Thus, the sCO₂-BC has great potential for industrial applications [2].

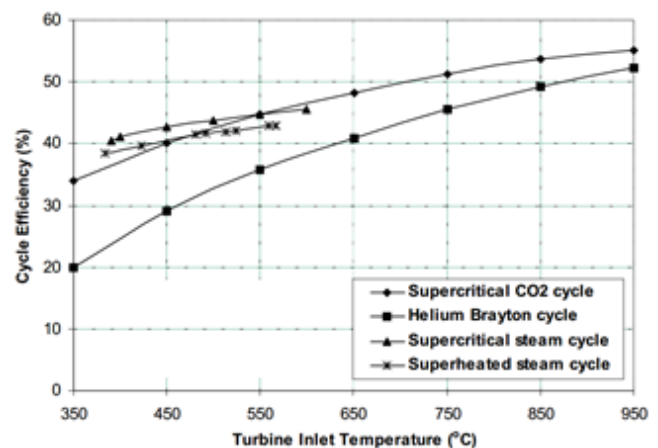


Fig. 1. Comparison of power cycle options [3]

Due to the excellent thermal properties of sCO₂, the sCO₂-BC requires significant fewer turbine stages than a cycle using helium or steam under the same thermal power level. Additionally, the operating pressure of sCO₂-BC is always higher than 10 MPa, which allows the compressor, turbine and heat exchanger to be more compact [13]. On the other hand, it is crucial to design and optimize such components for a long-term operation under high temperature and high-pressure conditions. Therefore,

the safety, stability and reliability of the operating components in the system should be considered and studied comprehensively [14,15].

In this paper, the performance evaluation, system optimization and economic analysis studies of sCO₂-BC systems from the last five years are reviewed. Other studies of related key components, such as the compressor, turbine and heat exchanger, are introduced briefly. Then, some recommendations are proposed based on the latest studies.

II. STUDIES ON THE sCO₂-BC SYSTEM

The original thermo-dynamic process of sCO₂-BC is shown in Fig. 2, with only some basic components illustrated. In order to improve the overall efficiency of sCO₂-BC, some more complex system compositions are developed. Wang et al. [16] summarize the six typical layouts of sCO₂-BC. These include the simple recuperation cycle, recompression cycle, precompression cycle, intercooling cycle, partial-cooling cycle and split expansion cycle. The derivative relationships between these six typical layouts of sCO₂-BC are shown in Fig. 3. It can be seen that the systems are gradually improved as the necessary components are added into the original cycle to overcome the deficiencies.

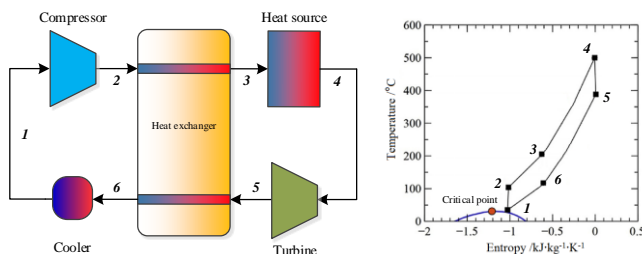


Fig. 2. Original supercritical CO₂ Brayton cycle (sCO₂-BC)

The sCO₂-BC can be used in the very high temperature reactor (VHTR) and the fast cooled reactor, which are proposed by the U.S. Department of Energy's Next Generation Nuclear Plant (NGNP) [17]. The simplified design and compact size of the sCO₂-BC may reduce the installation, maintenance

and operation cost [7]. Dostal et al. [2-4] created the preliminary design for the compressor, turbine and heat exchanger of the sCO₂-BC in a 600 MW reactor system including detailed volume and the cost estimation. Furthermore, three typical direct cycle designs were further investigated, in which the plant layout and the control scheme design were also included.

With the growing interest in renewable energy, the sCO₂-BC applicability in the field of solar energy is investigated. The sCO₂-BC configurations were explored and optimized for use in a concentrating solar power (CSP) application combined with a dry cooling process, which might achieve the efficiency with 50% or greater [5]. Ortega et al. [6] analyzed the sCO₂-BC in a solar receiver with the power of 0.3-0.5 MW using MATLAB, which can predict the thermal performance of the receiving equipment coupling with the radiation mechanisms. Moreover, it was proposed that the transient nature of the solar resource is the biggest challenge in the CSP system. Nami et al. [14] proposed a standard for evaluating the compressor, turbine, recuperator and cooler considering the factors of energy, economic and environment. Iverson et al. [18] studied the behavior of sCO₂-BC in response to a fluctuating thermal input. The investigation was similar to short-term transient environments and the results showed good agreement with experimental data. Padilla et al. [19] conducted a multi-objective optimization of the compressor, turbine and recuperator on the thermal performance of the sCO₂-BC. It was determined that the more compact characteristics of designing a compressor and turbine operating with the supercritical fluid must be overcome in order to successfully bring the technology to the market. These challenges include the fact that all the components should be designed with a more compact structure because of the miniaturization characteristics of sCO₂-BC. Recent studies focused on optimizing the design of the key components, like the compressor, turbine and heat exchanger, which is described next.

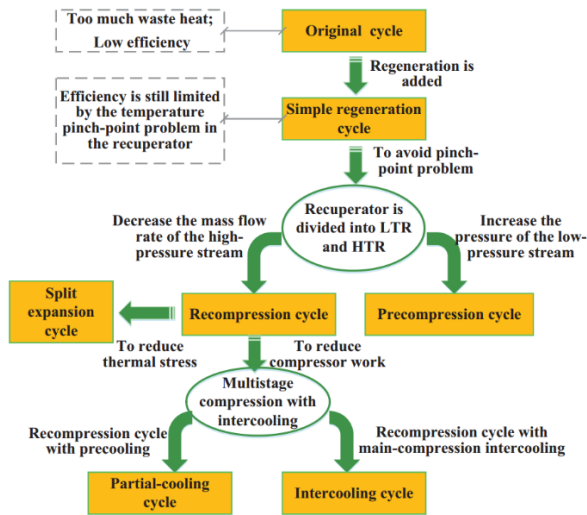


Fig. 3. Schematic of derivative relationships of six typical $s\text{CO}_2$ -BC [16]

III. STUDIES OF SCO_2 -BC COMPONENTS

1. Supercritical CO_2 Compressor and Turbine

The compressor increases the working fluid pressure from a low to a very high pressure, which can be seen in the Temperature-Entropy (T-S) diagram shown in Fig. 2. Cardemil et al. [20] recognized that CO_2 has better comprehensive performance when operated at supercritical conditions. The effect of the compressor on the overall efficiency of the $s\text{CO}_2$ -BC was studied by Dyreby [21]. The results indicated that the overall efficiency could be improved by increasing the compressor inlet pressure. However, it was found that the increase of compressor outlet pressure never affects the thermal efficiency. Ibsaine [22] presented a new compressor design concept, which was especially suited for $s\text{CO}_2$ heat pump applications. The compressor consists of an integrated thermal system that consists of a thermal compressor and a conventional vapor compression heat pump. The computational model was verified by comparison with experimental measurements from the thermal compressor prototype. In Ibsaine's study, the impacts of the size of dead spaces and leaks between the displacer and the cylinder wall were studied parametrically. Pecnik [23] investigated a high-speed centrifugal compressor operating with $s\text{CO}_2$ and compared the results with data from tests in the Sandia $s\text{CO}_2$ compression loop facility. Then, Lettieri et al. [24] studied a multistage compressor operating with supercritical CO_2 by CFD method. The thermal properties of supercritical CO_2 was calculated with the National Institute of Standards and Technology

real gas model [25]. In order to improve the stage efficiency, a vaned diffuser was analyzed instead of the standard vaneless diffuser in order to decrease the meridional velocity and widen the gas path. Rinaldi et al. [26] calculated the compressor map for three different rotational speeds (45 krpm, 50 krpm and 55 krpm) and the methodology and results were validated against experimental data from the Sandia National Laboratory. The comprehensive assessment of $s\text{CO}_2$ real gas effects is important for evaluating the performance of compressor considering the high variability of thermal properties of $s\text{CO}_2$. Baltadjiev [27] investigated the centrifugal compressors at different thermodynamic conditions relative to the pseudo-critical point of CO_2 . The results indicated that it has a reduction of 9% in the choke margin of the stage due to the thermal properties variations and the condensation was not a concern at the investigated operating conditions.

The turbine is the component used for power generation, and as such, it can directly indicate the system efficiency. The $s\text{CO}_2$ turbine is far smaller than the steam turbine due to its low pressure-ratio. Furthermore, the $s\text{CO}_2$ turbine is much simpler than the steam one because it does not need to allow for phase change and moisture separation. Kato et al. [28] found that the $s\text{CO}_2$ gas turbine reactor system, with a partial pre-cooling cycle, attained the excellent cycle efficiency of 45.8% at the temperature of 650°C . Chen [29] proposed the centrifugal prototype turbine using the $s\text{CO}_2$ -BC and analyzed the performance with experimental and numerical methods. The shape and size of the nozzle of the supercritical CO_2 turbine was optimized and it was found that choked flow did not occur when the diameter of the nozzle was larger than 0.7 mm. Additionally; the turbine output torques and the electric power generation can be improved with the increase of the nozzle inner diameter. The achievable thermal cycle efficiencies of the steam turbine cycle, helium turbine cycle and $s\text{CO}_2$ turbine cycle were studied and compared by Ishiyama [30]. These efficiencies were found to be 40%, 34% and 42%, respectively, when the heat source temperature is 480°C . Furthermore, the volume of a $s\text{CO}_2$ turbine was estimated to be only half that of a steam turbine generating the same power.

2. Supercritical CO₂ Heat Exchanger

In the sCO₂-BC, the recuperator and cooler, which play important roles in maintaining safe operations, always have significant impacts on the efficiency of the whole system. The shell-and-tube heat exchanger (STHE), which has been used for nearly a hundred years and has a mature manufacturing process, is now in wide use in the high pressure and high temperature systems^[31]. However, the application of the STHE is limited by its required large volume and high cost when systems require compactness and miniaturization. In recent decades, the HEATRIC Company has developed a new type of printed circuit heat exchanger (PCHE)^[32]. This new PCHE meets compactness requirements and can reliably operate for long term in extreme temperature and pressure conditions. The PCHE has performed excellently when used in the sCO₂-BC system.

Mylavarapu et al.^[33-36] at Ohio State University investigated the PCHE in the high temperature helium facility (HTHF) with experimental and numerical methods. The straight fins of Alloy 617 plates were fabricated by photochemical etching and assembled by diffusion bonding. The experimental test data could be used to determine the design operating conditions for the PCHE in the HTHF. Ma et al.^[37] also analyzed the thermal and hydraulic performance of a PCHE with zigzag fins. It was found that the flow could not be fully-developed at the high temperature due to the significant variation of the thermal physical properties of sCO₂. Tsuzuki et al.^[38-40] developed a new PCHE with S-shaped fins. They validated numerically that the PCHE with S-shape fins had the same heat transfer performance as the zigzag PCHE but with the benefit of one-fifth of the pressure drop. With continued focus on fin structures, the heat transfer performance of a PCHE with airfoil shaped fins was proposed and analyzed by Kim et al.^[41]. It can be seen that the PCHE with airfoil shaped fin can obtain the same heat transfer performance as the zigzag channel PCHE with only 1/12 the pressure drop. This is due to the streamlined fin shape and the increase of heat transfer area. Furthermore, Xu et al.^[42] analyzed the airfoil fin structure parametrically and proposed that it was necessary to reduce the flow resistance along the flow direction in order to improve the comprehensive thermal hydraulic performance of the PCHE.

IV. CONCLUSIONS

The sCO₂-BC is an efficient thermodynamic cycle due to the excellent thermal properties of the sCO₂, which have significantly improved the systems overall performance when compared with other conventional cycles. Researchers have studied the sCO₂-BC system extensively, including performance evaluation and component optimization.

- The sCO₂-BC has very high overall efficiency, possibly above 50%. The sCO₂-BC may be used in the next generation reactor systems and solar power systems, which can significantly reduce the system volume.
- It is a challenge to design the compressor and turbine with sCO₂ working fluid for stable system operation. The sCO₂ compressor and turbine are far smaller than conventional ones due to the low pressure ratio, which can definitely contribute to the system miniaturization.
- The PCHE is a compact heat exchanger with a volume of 85% that of a comparable STHE. Complex fin structures for the PCHE have been developed; ranging from continuous zigzag shape to discontinuous airfoil shape, which aim to improve the comprehensive performance.

According to the investigation review above, some recommendations for future work of the sCO₂-BC system are proposed. Firstly, the material problem is now becoming the focus, due to system operating temperatures and pressures exceeding 700°C and 20 MPa, respectively. Secondly, the behavior and the performance of the compressor and turbine operating close to the critical point, should be tested and evaluated due to the high variability of sCO₂ thermal properties. Finally, the dynamic analysis of the entire system should be studied with transient analysis in cases of great fluctuation, to ensure the system should operate near the stability point very well.

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